ECMS Workshop
26 June 2023

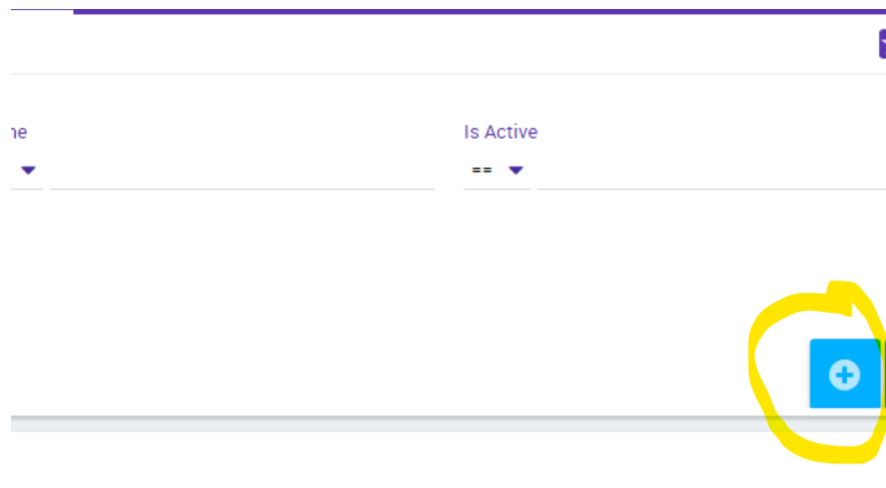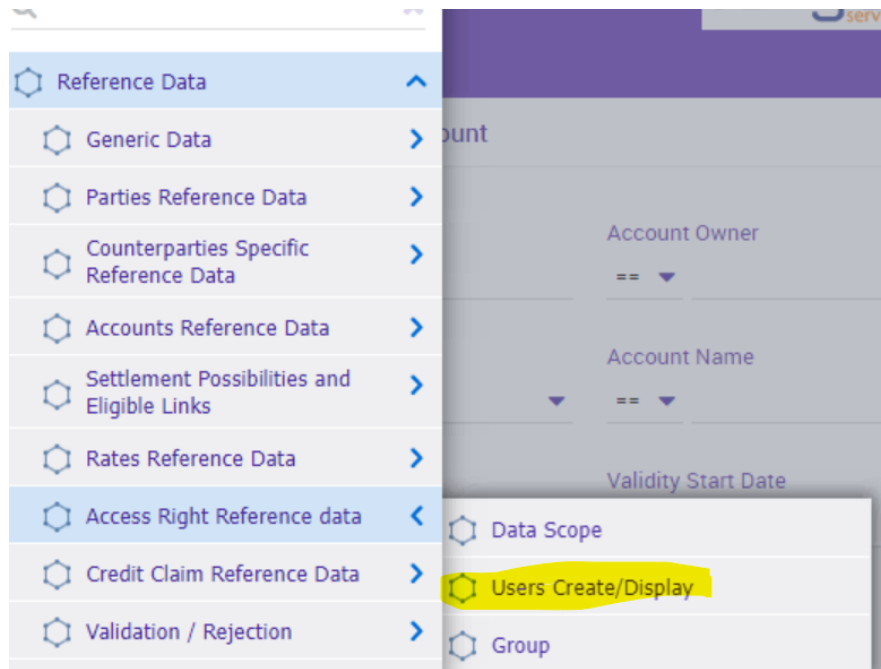*Reference Data*

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Overview

- Announcements & practical information
- User creation
- Access management
- Account reference data
- Internal asset Accounts/Cash accounts
- Information regarding the pools
- Q&A

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Announcements & practical information

- In this workshop we will only deal with the U2A GUI
- Every counterparty has users with admin rights, every user made by DNB has admin rights. These users can grant other users admin rights. Counterparties are from this point on responsible for their own access management
- 4 eyes is available from now, please note that you need to use validator rather than super validator for 4 eyes. Note that at the time of the preparation of the workshop, we did not have the functionality yet, and use a super validator in this workshop
- All counterparties have been sent a pdf & filled a registration form, in this workshop we will show you where you can find this information in ECMS
- Only test the user creation/access management functionality. No other data except for user related data should be put in the system (as we are migrating data)

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Creating users in the ECMS

Creating users in the ECMS

Creating users in ECMS

Addresses (Email)	
Field	**Description**
Party RIAD Code*	RIAD Code that uniquely identifies your Counterparty. Required format is: Max. 30 characters.
EMAIL*	Enter the Email address that should be used for the purpose selected below. Required format is: Max. 255 characters
Purpose*	Select the purpose for the email address from the list of possible values.

Addresses (Mail)	
Field	**Description**
Party RIAD Code	RIAD Code that uniquely identifies your Counterparty. Required format is: Max. 30 characters.
Street Adress	Enter the street name of the address of your Counterparty. Required format is: Max. 70 characters.
House Number	Enter the house number of the address of your Counterparty. Required format is: Max. 16 characters.
Postal Code	Enter the postal code of the address of your Counterparty. Required format is: Max. 16 characters.
City	Enter the city of the address of your Counterparty. Required format is: Max. 70 characters.
State or Province	Enter the state or province of the address of your Counterparty. Required format is: Max. 35 characters.
Country Code	Enter the country code of the address of your Counterparty. Required format is: Max. 2 characters.
Purpose	Select the purpose for the address from the list of possible values.

Addresses (BIC)	
Field	**Description**
Party RIAD Code*	RIAD Code that uniquely identifies your Counterparty. Required format is: Max. 30 characters.
BIC Address*	Enter the BIC Code of the Party in the ECMS. Required format is: Max. 11 characters.
Purpose	Select the purpose for the BIC code from the list of possible values.

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Creating users in ECMS

Edit: User Properties

Property ⇕	User Property Value ⇕	Update Date ⇕	Updater User Id ⇕

No results were found for the search criteria specified

Edit: User Properties

Property

Data Scope

User Property Value

Default_468|NLXXX|DNB1

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Creating users in ECMS

Counterparty Administrator User*	
Field	**Description**
ECMS System User Reference*	Enter the ECMS System User Reference of the user. Required format is: Max. 35 characters.
Name*	Enter the name of the user. Required format is: Free text.
User DN*	Enter the DN of the user.
Role ID*	Select the roles to be attributed to the user.
Party RIAD Code*	RIAD Code that uniquely identifies your Counterparty. Required format is: Max. 30 characters.
isActive*	Select if user is active by selecting True or inactive by choosing false.

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Creating users in ECMS

Edit: User Properties

Property ⇕	User Property Value ⇕	Update Date ⇕	Updater User Id ⇕

No results were found for the search criteria specified

Edit: User Properties

Property

DN

User Property Value

[Enter DN Here]

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Adding Roles [Via User properties]

List: Assigned Roles

	Assigned Roles ⬍	Update Date ⬍	Updater User Id ⬍
	No results were found for the search criteria specified		

Edit: Assigned Role

Assigned Roles

- Super Validator
- Validator
- ECMS ENTITY - U2A ELA MANAGEMENT READ ONLY - #74
- ECMS ENTITY - U2A BANKING GROUP MANAGER PROCESSES READ ONLY - #75
- ECMS ENTITY - U2A CREDIT CLAIM MANAGEMENT EXECUTION - #76
- ECMS ENTITY - U2A CREDIT CLAIM MANAGEMENT READ ONLY - #77
- ECMS ENTITY - U2A CORPORATE ACTIONS AND TAX MANAGEMENT EXECUTION - #78
- ECMS ENTITY - U2A CORPORATE ACTIONS AND TAX MANAGEMENT READ ONLY - #79
- ECMS ENTITY - U2A STATEMENTS EXECUTION - #80
- ECMS ENTITY - U2A STATEMENTS READ ONLY - #81
- ECMS ENTITY - U2A OTHER SUPPORT FUNCTIONALITIES EXECUTION - #82

Error

ECMS-Referential-0034: Roles must always be a couple: Validator/Supervalidator + Business role.
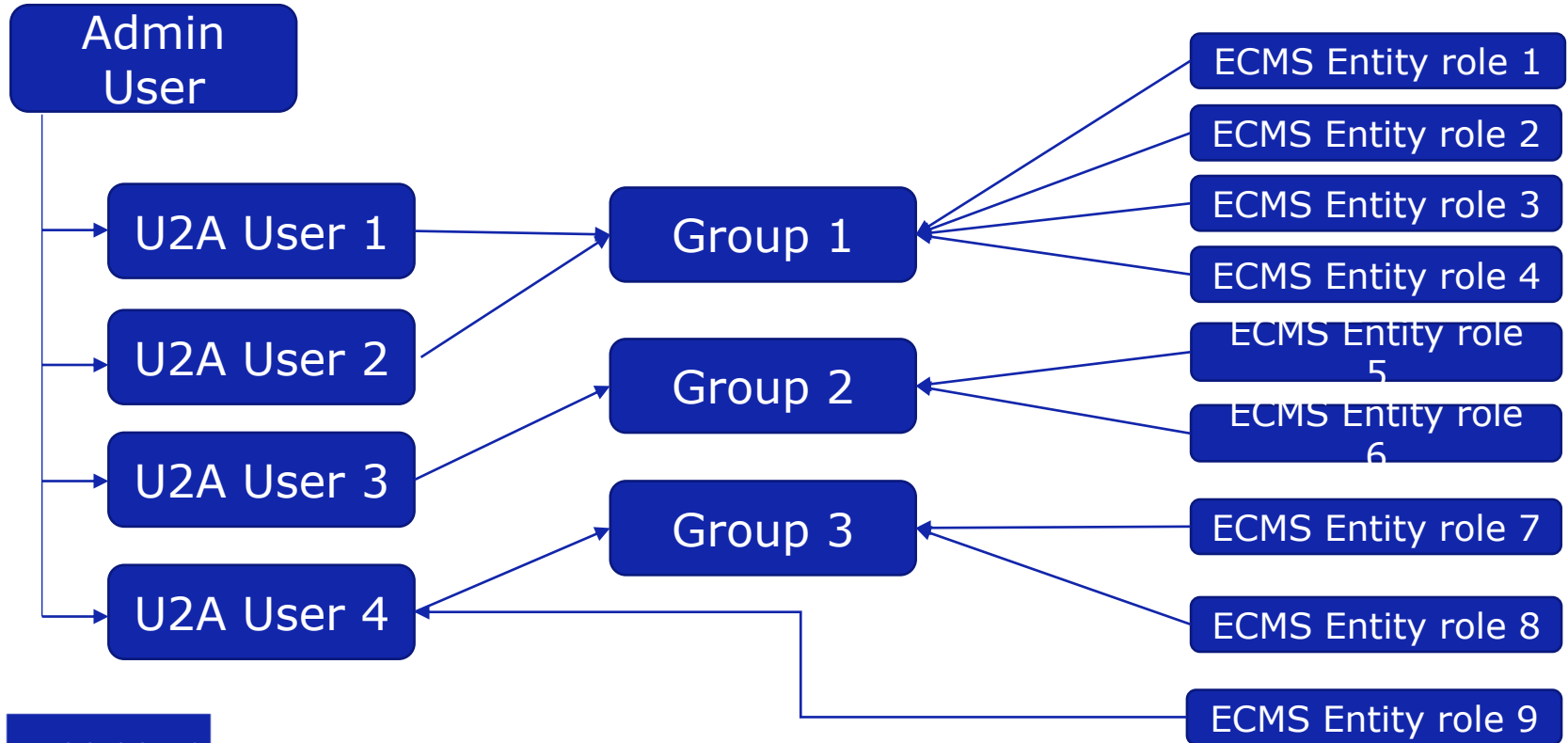
OK   Details>>

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Roles

Role	Overview	Variant
Counterparty U2A Party Administrator	The role allows the user constellation configuration and the counterparty security management in regards of roles related with the own counterparty data scope. With this role the user is able, for example, to create/delete users, groups, to assign/remove a set of roles to users and groups, etc.	Execution Read Only
Counterparty U2A General Reference Data	The role allows the access to all relevant reference data constellation for the counterparty data scope. With this role the user is able, for example, to see its asset accounts, settlement possibilities, etc.	Read Only
Counterparty U2A Triparty Reference Data	The role allows the access to all relevant triparty reference data constellation for the counterparty. With this role the user is able, for example, to see the triparty transaction details.	Read Only
Counterparty U2A Pool Reference Data	The role allows access to all relevant reference data information related to the pool configuration under the counterparty data scope.. With this role the user is able, for example, to see the pool configuration details.	Read Only
Counterparty U2A Marketable Asset (De)Mobilisation	The role allows the management and monitoring of marketable assets (de)mobilisations under the counterparty data scope.	Execution Read Only
Counterparty U2A Credit Claim Management	This role allows the management or monitoring of credit claims.	Execution Read Only
Counterparty U2A Cash Collateral	The role allows the management or monitoring of the cash collateral related processes in the ECMS.	Execution Read Only

Counterparty U2A Externally Managed collateral	The role allows the monitoring of the externally managed collateral.	Read Only
Counterparty U2A Open Market Operations	The role allows the monitoring of open market operations.	Read Only
Counterparty U2A Standing Facilities	The role allows the management or monitoring of the marginal lending on request and automatic marginal lending functionalities.	Execution Read Only
Counterparty U2A Credit Freezing	The role allows the management or monitoring of the credit freezing functionalities.	Execution Read Only
Counterparty U2A Credit Line	The role allows the management of the maximum credit line functionality, and the monitoring of the credit line covered by an ECMS pool. With this role the user is able, for example, to monitor the maximum credit line.	Execution Read Only
Counterparty U2A Pool Position	The role allows the counterparty pool monitoring. The user is able to see the pool overview, pool valuation and pool position.	Execution Read Only
Counterparty U2A ELA Management	The role allows the monitoring of ELA operations.	Read Only
Counterparty U2A Banking Group Management	The role allows the monitoring of the banking group pool details.	Read Only
Counterparty U2A Corporate Actions and Tax Management	The role allows the management and monitoring of corporate actions and taxes related processes.	Execution Read Only
Counterparty U2A Statements	The role allows the generation and downloading of U2A statements, as well as the downloading of the pre-generated A2A statements in xml format.	Execution Read Only

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Using roles within the ECMS
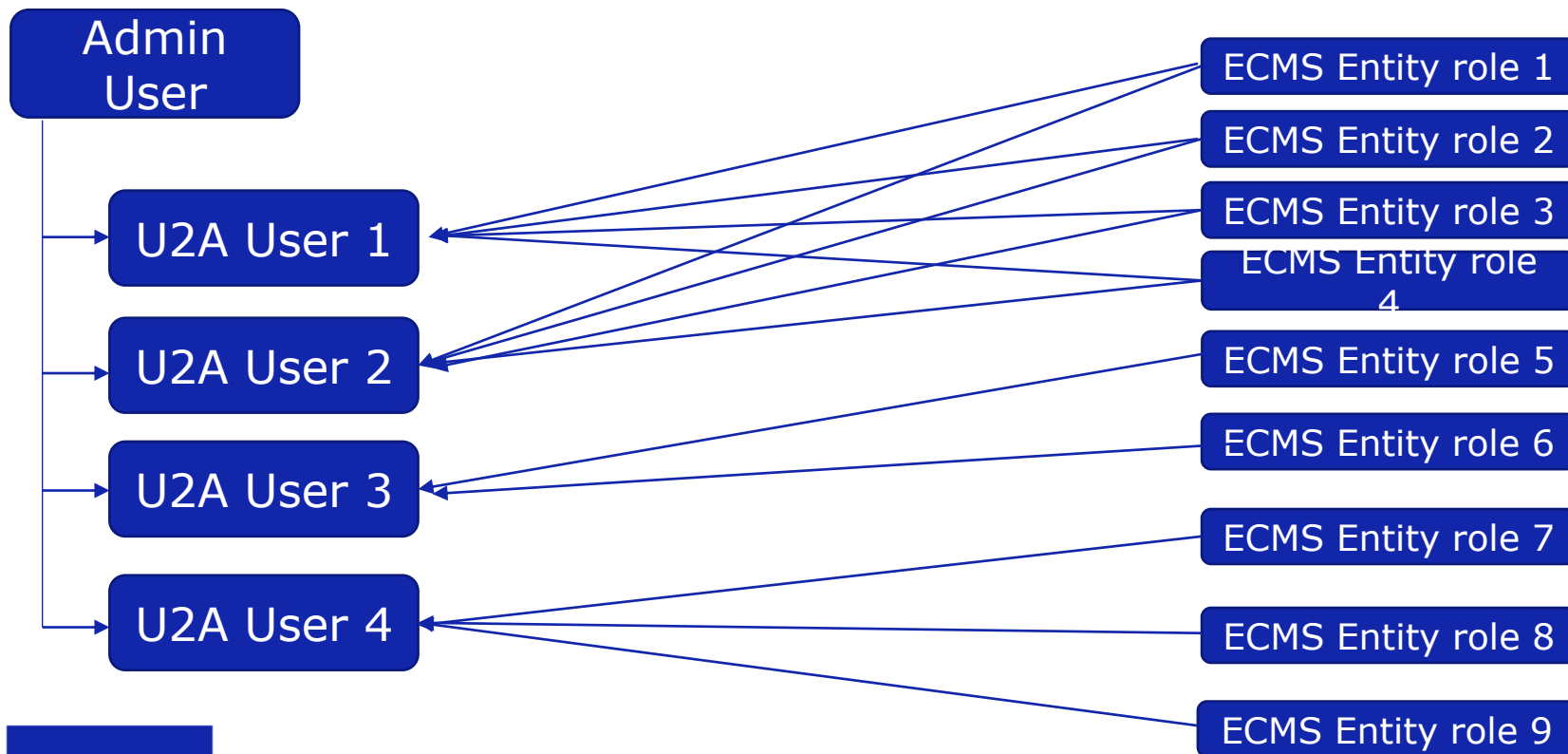
Using groups within the ECMS

Creating Groups

Account reference data
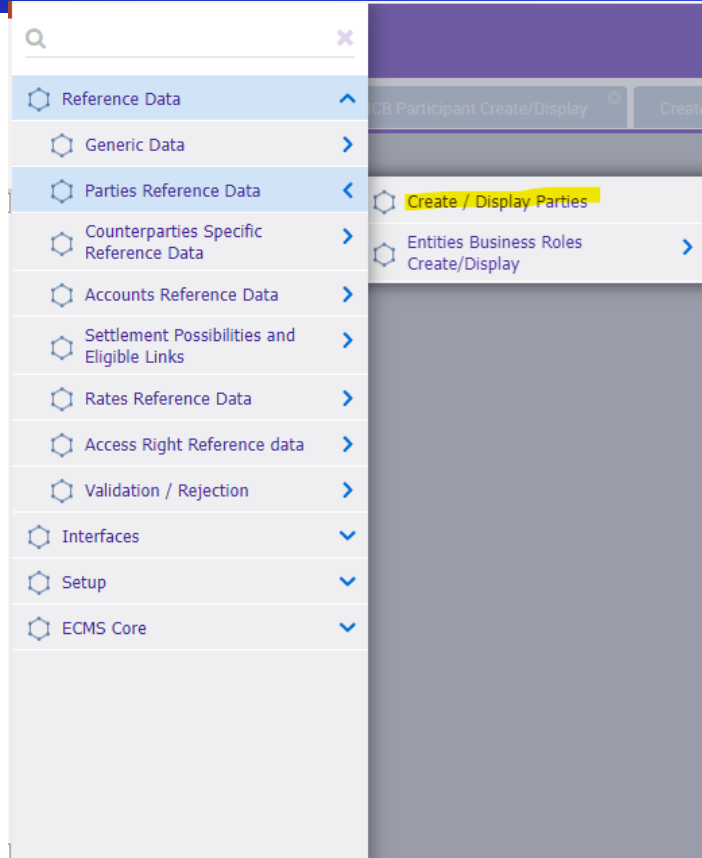
Account reference data

Create / Display : Parties

Party Internal Id == ▼

Party RIAD Code == ▼

Party Short Name == ▼

BIC == ▼

Country Code == ▼ ▼

Is Active == ▼ ▼

Party Type == ▼ ▼

Creation Date == ▼ 📅

Validity Start Date == ▼ 📅

Validity End Date == ▼ 📅

Update Date == ▼ 📅
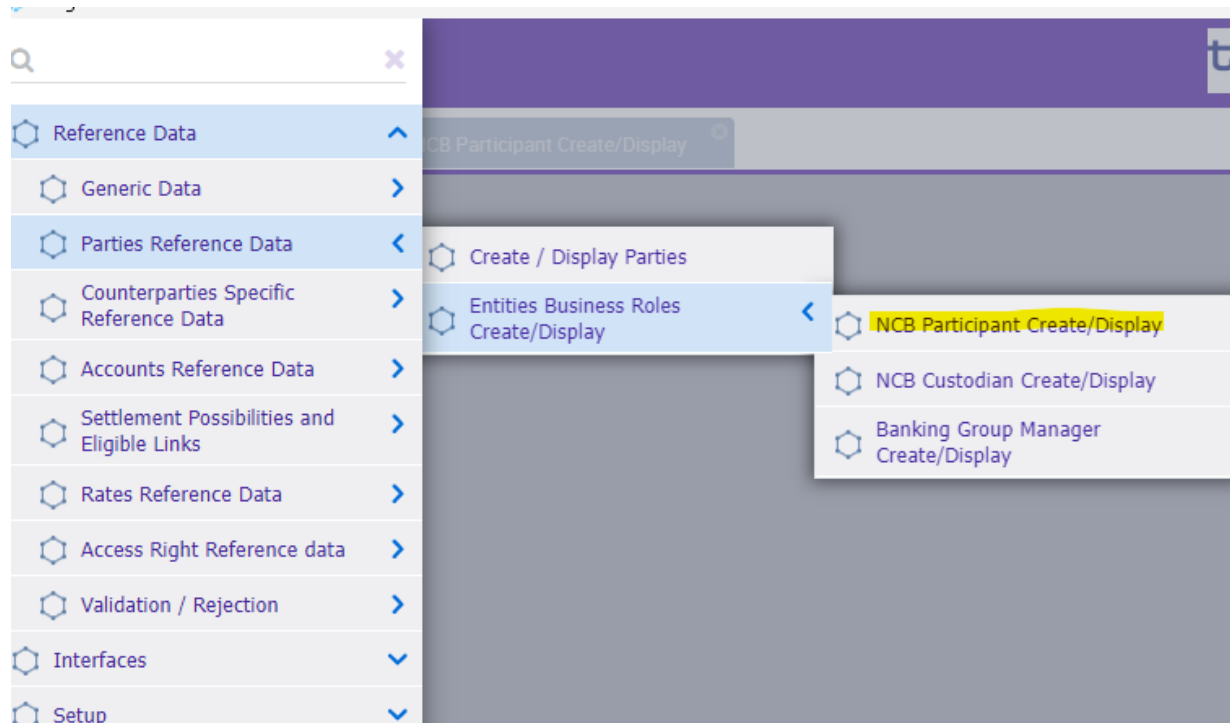
⟲ Reset

🔍 Search

Search Result : Parties ❯ **View : Party**

History

Address Details [0]

Additional Codes [0]

Contact Information [1]

Contact Information

10 ▼

🔍 1 📊 ⟳ ↩

Contact Name ⇕	Email ⇕	Telephone ⇕	Update Date ⇕	Creation Date ⇕	Updater User Id ⇕	Fax ⇕	Function / Business area ⇕	Email2 ⇕

Account reference data

Account reference data

Create / Display : NCB Participant

Party RIAD Code	== ▼		Party Short Name	== ▼		Party Name	== ▼		Country Code	== ▼	▼
BIC	== ▼		Is Active	== ▼	▼	ECMS Entity Type	== ▼	▼	Creation Date	== ▼	📅
Validity Start Date	== ▼	📅	Validity End Date	== ▼	📅	Update Date	== ▼	📅			

↻ Reset

🔍 Search

Search Result : Internal Client ❯ **View : NCB Participant**

History ⌃

General Information ⌃

| Party Internal Id | Party RIAD Code | Party Short Name | Party Name |

| Country Code | ECMS Entity Type | BIC | US Taxpayer Certification Status |

....

Additional Codes [1]   ADDRESS DETAILS [13]   External Cash Account [1]   Tax Documentation [0]

Blocking Status

Internal Asset Account [2]

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Account Reference data

Cash & Internal asset account

Pools

Q&A

Thank you for your attention. If you have any questions after the training, feel free to contact the helpdesk at ECMS-testsupport@dnb.nl

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